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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/680,603	10/08/2000	Mark Yablonski	020431.0990	5144
53184 7590 01/08/2008 i2 TECHNOLOGIES US, INC. ONE i2 PLACE, 11701 LUNA ROAD DALLAS, TX 75234			EXAMINER WANG, JIN CHENG	
			ART UNIT 2628	PAPER NUMBER
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

**Office Action Summary**

Application No.

09/680,603

Applicant(s)

YABLONSKI ET AL.

Examiner

Jin-Cheng Wang

Art Unit

2628

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 30 October 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 47,48,50-56 and 58-72 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 47-48, 50-56 and 58-72 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Response to Amendment***

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 10/30/2007 has been entered. Claims 47 and 55 have been amended. Claims 1-46, 49, and 57 have been canceled. Claims 47-48, 50-56 and 58-72 are pending in the application.

### ***Response to Arguments***

Applicant's arguments filed 10/30/2007 have been fully considered but are moot in view of the new ground(s) of rejection of the claim 47.

As set forth below, the claim 47 is rejected under §103 as being unpatentable over Strasnick et al. U.S. Pat. No. 5,861,885 (hereafter Strasnick) in view of DeKimpe et al. U.S. Patent No. 6,665,682 (hereinafter DeKimpe) and Berger U.S. Patent No. 6,493,728 (hereinafter Berger).

Applicant argues the claim 47 is patentable over the cited references. The examiner respectfully disagrees.

The cited references either individually or in combination teach or suggest each and every element of the claim limitations set forth in the claim 47 and similar claims. For example, Berger further discloses **a computer graphical user interface system comprising:**

**A database operable to store hierarchically organized data associated with a multi-dimensional hierarchy of data** (*Berger Fig. 2-3C*); and

**A multi-dimensional graphical user interface coupled to the database** (*Berger at Fig. 2-3C discloses the OLAP database; see column 4, lines 15-25 and column 7, lines 35-45*) and **capable of user interaction to provide a multi-dimensional user interactive graph** (*Berger Fig. 2-3C wherein Berger discloses a three-dimensional user interactive graph wherein the first dimension is the Customers dimension having four hierarchical levels, All, State, City and Customer. The second dimension, the Products dimension has three levels, All, Category and Product. The third dimension, the Time dimension has three levels, Year, Quarter and Month. It is inherent that the three dimensions in the three-dimensional cube have coordinates and thus represent the three dimension axis; see column 6, lines 55-67 and column 7, lines 1-58*) **comprising: a multi-dimensional axes data hierarchy including a top layer hierarchy associated with a first axis dimension** (*Berger Fig. 2-3C including the customers cells including the children cells STATES, CITIES and the individual customers*), **a top layer hierarchy associated with a second axis dimension** (*Berger Fig. 2-3C including the time YEAR or Quarter cells have the children cells such as the Month cells associated with the time dimension*), **and a top layer hierarchy associated with a third axis dimension** (*Berger Fig. 2-3C wherein the PRODUCTS cells have children cells such as the Category and Product Items associated with the product dimension*); and **a unique bottom layer hierarchy including a plurality of function values** (*e.g., quantitative values in the space cube; Berger Fig. 2-3C and column 4, lines 25-55 and column 9, lines 43-55 wherein Berger discloses that each cell in a multidimensional database has one or ore measures associated with it. In the cube, two*

*measures are defined, Purchases and Units where Purchases is the dollar amount of a particular purchase and Units is the number of units purchased. Therefore, Berger teaches that each cell in a unique bottom layer hierarchy includes a plurality of function values such as the dollar amounts or the number of units purchased) associated with each of the top layer hierarchies of the multi-dimensional axes data hierarchy (Berger Fig. 2-3C); and a multi-dimensional value hierarchy associated with each of the function values of the multi-dimensional axes data hierarchy (Berger Fig. 2-3C wherein Berger discloses a three-dimensional user interactive graph wherein the first dimension is the Customers dimension having four hierarchical levels, All, State, City and Customer. The second dimension, the Products dimension has three levels, All, Category and Product. The third dimension, the Time dimension has three levels, Year, Quarter and Month. It is inherent that the three dimensions in the three-dimensional cube have coordinates and thus represent the three dimension axis; see column 6, lines 55-67 and column 7, lines 1-58. The quantitative values---measure data of the cells in the space cube; At Fig. 2-3C and column 4, lines 25-55 and column 9, lines 43-55 Berger discloses that each cell in a multidimensional database has one or ore measures associated with it. In the cube, two measures are defined, Purchases and Units where Purchases is the dollar amount of a particular purchase and Units is the number of units purchased. Therefore, Berger teaches that each cell in a unique bottom layer hierarchy includes a plurality of function values such as the dollar amounts or the number of units purchased).*

In Remarks, applicant argues that DeKimpe fails to disclose, teach or suggest independent claim 47 limitations or the limitations set forth in the similar claims. The Examiner respectfully disagrees with the applicant's arguments for the reasons discussed below.

In particular, applicant argues in essence that the cube disclosed in Dekimpe merely illustrates the structure of the database, and does not include, involve, or even relate to a 'multi-dimensional axes data hierarchy including a top layer hierarchy associated with a first axis dimension. The examiner respectfully disagrees with the applicant's argument. Dekimpe teaches or suggests the claim limitations set forth in the claim 47 for the reasons given below.

In column 6, especially, column 6, lines 53-61, it is stated, "Drilling down or up is a specific analytical technique whereby the user navigates among levels of data ranging from the most summarized (up) to the most detailed (down). The drilling paths may be defined by the hierarchies within dimensions or other relationships that may be dynamic within or between dimensions. For example, when viewing data for Sales 334 for the year 1997 304 in FIG. 3, a drill-down operation in the Time dimension 302 would then display members Q1 366, Q2 308, Q3 310, and Q4 312."

In Page 16 or Remarks, Applicant argues in essence with respect to the claim 47 and similar claims that DeKimpe failed to teach "multi-dimensional user interactive graph". The examiner respectfully disagrees with the applicant's argument. As discussed in the previously passage, DeKimpe teaches drilling down or up on the cube as the user navigates among levels of data ranging from the most summarized (up) to the most detailed (down) clearly teaches the

claim limitation of “multi-dimensional user interactive graph”. DeKimpe clearly teaches a first axis dimension, a second axis dimension and a third axis dimension in the three data dimensions illustrated in the cube of Fig. 3 with the drilling up and down capability among the levels of data hierarchies. Therefore, DeKimpe is seen to teach the claim limitation set forth in the claim 47.

DeKimpe discloses the drilling paths may be defined by the hierarchies within dimensions. Having the hierarchies within dimensions means that there is a top layer hierarchy for every dimension (axis) and therefore, there is a top layer level/hierarchy associated with a third axis dimension. The cube of DeKimpe is only an example of the graphical representation of the hierarchical data structures. DeKimpe teaches in column 6 that any dimension of the three-dimensional hierarchical data has levels of data ranging from the most summarized (up) to the most detailed (down) wherein drilling paths may be defined by the hierarchies within dimensions.

DeKimpe not only discloses the hierarchies within dimensions but also discloses the graphical user interface allowing a user to navigate among levels of data ranging from the most summarized (up) to the most detailed (down).

In column 6 lines 21-52, DeKimpe discloses an example of the graphical representation of the three-dimensional array of hierarchical data. It is stated, “The cube is three dimensional, with each dimension (i.e., Time, Product, and Measures) represented by an axis of the cube...Cubes generally have hierarchies or formula-based relationships of data within each dimension (axis). Consolidation involves computing all of these data relationships for one or more dimensions. An example of consolidation is adding up all sales in the first quarter.

While such relationships are normally summations, any type of computational relationship or

formula might be defined. Members of a dimension are included in a calculation to produce a consolidated total for a parent member. Children may themselves be consolidated levels, which requires that they have children.” Thus, DeKimpe discloses the multi-dimensional axes data hierarchy.

In Page 13 of Remarks, Applicant argues that Strasnick does not disclose, teach or suggest independent claim 47 limitations or the limitations of similar claims, the Examiner respectfully disagrees with applicant’s arguments for the reasons discussed below.

In a non-limiting example, Strasnick thus clearly teaches in Fig. 2a, Fig. 7 and 14 and column 22 a top layer hierarchy (e.g., the product cells such as ALL for all products as the hierarchy is controlled by the display control) associated with a first axis dimension (x-axis hierarchy or z-axis hierarchy when the function values are associated with the product cells) and a top layer hierarchy (ALL for a total of the first quarter, second quarter, third quarter and fourth quarter of Sales data and the hierarchy is controlled by the display control; see column 22, lines 10-67; see also column 16, lines 45-67 for the displayed objects along the y-axis dimension wherein the displayed objects in the y-axis dimension are in the hierarchical structure) associated with a second axis dimension (y-axis). Strasnick discloses in Fig. 10B, 11 and column 22 a value hierarchy wherein the values are represented in different heights and colors to indicate the attributes of the data and the values may be summarized in a three-dimensional graph display. See Fig. 11 wherein the data value hierarchies are associated with the three-dimensional graph having the first dimension axis-----



sales by regions or employee organizations, the second dimension axis-----  
year/quarter/months and the third dimension axis----product items arranged in the  
value hierarchies wherein the value hierarchies are arranged in terms of the product  
items and the product items are further divided into the product item quota, the  
product item sale represented by the heights and colors. Strasnick clearly teaches  
that the sales and quota of product items are represented by the height and color  
and thereby value hierarchies is taught by Strasnick. Strasnick clearly discloses in Fig.  
14 a three-dimensional hierarchy of data structures in which the data objects are navigated and  
visualized in the three-dimensional layout. Moreover, Strasnick discloses in column 21, lines 30-  
40 a hierarchical tree structure of nodes in a 3D layout of the nodes/cells or data attributes in a  
hierarchy wherein the nodes/cells/attributes of the hierarchical tree are distributed/mapped to the  
coordinates in the 3D space and thus graphical structure has a top layer hierarchy associated with  
the x-axis in the 3D space, a top layer hierarchy associated with the y-axis in the 3D space and a  
top layer hierarchy associated with the z-axis in the 3D space (See Figs. 14-18).

Strasnick thus strongly suggests the claim limitation of a top layer hierarchy associated  
with a third axis dimension (See Fig. 10A, 12A and 14-18).

Applicant argues that DeKimpe fails to disclose, teach or suggest independent claim 47  
limitations or the limitations set forth in the similar claims. The Examiner respectfully disagrees  
with the applicant's arguments for the reasons discussed below.

DeKimpe teaches the claim limitation of “a top layer hierarchy associated with a third axis dimension.” See DeKimpe Figs. 2 and 3; and column 6 wherein DeKimpe discloses cells in the multi-dimensional database along all dimensions and cubes have hierarchies of data within each dimension. Members of a dimension are included in a calculation to produce a consolidated total for a parent member. Children may themselves be consolidated levels, which requires that they have children. A member may be a child for more than one parent, and a child’s multiple parents may not necessarily be at the same hierarchical level, allowing multiple hierarchical aggregations within any dimension (DeKimpe column 6). Drilling down or up is a specific analytical technique whereby the user navigate among levels of data ranging from the most summarized to the most detailed. The drilling paths may be defined by the hierarchies within dimensions or other relationships that may be dynamic within or between dimensions. For example, when viewing data for Sales 324 for the year 1997 304 in Fig. 3, a drill-down operation in the Time dimension 302 would then display members Q1 366, Q2 308, Q3 310 and Q4 312.

DeKimpe thus discloses a computer graphical user interface system (Fig. 3 and column 6) comprising:

A database (DeKimpe Fig. 2 and column 6 wherein Fig. 2 discloses a multi-dimensional database) operable to store hierarchically organized data associated with a multi-dimensional hierarchy of data (Fig. 3 and column 6 and Fig. 2 discloses hierarchically organized data associated with a multi-dimensional hierarchy of data) and display the multi-dimensional hierarchy of data to a user (*Fig. 3 and column 6 and Fig. 2 discloses displaying the hierarchically organized data associated with a multi-dimensional hierarchy of data*); and

A multi-dimensional graphical user interface (Fig. 3 and column 6 discloses a graphical user interface for drilling down or up the multi-levels of the data structures) coupled to the database and capable of user interaction to provide a multi-dimensional user interactive graph comprising:

A multi-dimensional axes data hierarchy (Fig. 3 and column 6) including a top layer hierarchy (e.g., the ALL product item is a parent of the children products A, B, C) associated with a first axis dimension, a top layer hierarchy (e.g., the YEAR 1997 is the parent of its children Q1, Q2, Q3 and Q4) associated with a second axis dimension, and a top layer hierarchy (e.g., Measures is the parent of its children profits, costs and sales) associated with a third axis dimension; and a unique bottom layer hierarchy including a plurality of function values associated with each of the top layer hierarchies of the multi-dimensional axes data hierarchy (See DeKimpe Figs. 2 and 3; and column 6 wherein DeKimpe discloses cells in the multi-dimensional database along all dimensions and cubes have hierarchies of data within each dimension. Members of a dimension are included in a calculation to produce **a consolidated total for a parent member**. Children may themselves be consolidated levels, which requires that they have children. A member may be a child for more than one parent, and a child's multiple parents may not necessarily be at the same hierarchical level, allowing multiple hierarchical aggregations within any dimension (DeKimpe column 6). **Drilling down or up is a specific analytical technique** whereby **the user navigate among levels of data ranging from the most summarized to the most detailed**. The drilling paths may be defined by the hierarchies within dimensions or other relationships that may be dynamic within or between dimensions); and

A multi-dimensional value hierarchy associated with each of the function values of the multi-dimensional axes data hierarchy (See DeKimpe Figs. 2 and 3; and column 6 wherein DeKimpe discloses cells in the multi-dimensional database along all dimensions and cubes have hierarchies of data within each dimension. Members of a dimension are included in a calculation to produce **a consolidated total for a parent member**. Children may themselves be consolidated levels, which requires that they have children. A member may be a child for more than one parent, and a child's multiple parents may not necessarily be at the same hierarchical level, allowing multiple hierarchical aggregations within any dimension (DeKimpe column 6). **Drilling down or up is a specific analytical technique whereby the user navigate among levels of data ranging from the most summarized to the most detailed**. The drilling paths may be defined by the hierarchies within dimensions or other relationships that may be dynamic within or between dimensions).

In addition to the graphical representation of the multi-dimensional hierarchical data structure in DeKimpe column 6 and Fig. 3, further examples of the multi-dimensional hierarchies can be found in Berger's OLAP database structure and the graphical representation for multi-level hierarchical data.

Applicant argues with respect to the claim 47 and similar claims that there is no motivation for combining the references. However, the motivation can be found from the cited references. It is clear from the cited references that one of the ordinary skill in the art is motivated to have combined the references because this allows the multiple dimension visual model being used to clearly present the data set to the user as organized in multiple levels along the multiple axis with each member being labeled as the database contains a plurality of data

records having multiple data attributes/levels that need to be visualized at a plurality of levels to reveal the details of the hierarchical structure of the data records (Strasnick Figs. 14-18, column 21-22, DeKimpe Figs. 2-3 and column 6).

***Claim Rejections - 35 USC § 101***

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims **55-56, 58-62 and 69-70** are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

**Claims 55-56, 58-62 and 69-70:**

Claim 55 recites “Software for providing a computer graphical user interface...when executed operable to:store...provide...”. Computer programs claimed as computer listing per se, i.e., the description or expression of the programs, are not physical things. See Lowry, 32 F.3d at 1583-84, 32 USPQ2d at 1035.

Claim 56, 58-62 and 69-70 are non-statutory for the same reasons discussed above.

***Claim Rejections - 35 USC § 112***

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 47-48, 50-56 and 58-72 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

In a non-limiting example, claim 47 recites “a multi-dimensional graphical user interface coupled to the database and capable of user interaction to provide a multi-dimensional user interactive graph comprising: multi-dimensional axes data hierarchy including a top layer hierarchy associated with a first axis dimension, a top layer hierarchy associated with a second axis dimension, and a top layer hierarchy associated with a third axis dimension; and a unique bottom layer hierarchy including a plurality of function values associated with each of the top layer hierarchies of the multi-dimensional axes data hierarchy and a multi-dimensional value hierarchy associated with each of the function values of the multi-dimensional axes data hierarchy.” The claim(s) contains subject matter which was confusing, ambiguous and was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

First of all, applicant speculated “a multi-dimensional user interactive graph”. Applicant’s specification at Fig. 18 and page 13 discloses a separate value hierarchy legend which is independent for a multi-dimensional user interactive graph. Therefore, it cannot be said that the claimed multi-dimensional user interactive graph has a third axis dimension associated with the value hierarchy having a unique bottom layer hierarchy including a plurality of function values

associated with each of the top layer hierarchies of the multi-dimensional axes data hierarchy. Since there is a value hierarchy, it cannot be said there is a unique bottom layer hierarchy including a plurality of function values associated with the top layer hierarchy of the axes data hierarchy including the value hierarchy. There is no function values associated with the value hierarchy.

The claims 48, 50-56 and 58-72 are subject to the same rationale of rejection set forth in the claim 47.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 47-48, 50-56 and 58-72 are rejected under 35 U.S.C. 103(a) as being unpatentable over Strasnick et al. U.S. Pat. No. 5,861,885 (hereafter Strasnick) in view of DeKimpe et al. U.S. Patent No. 6,665,682 (hereinafter DeKimpe) and Berger U.S. Patent No. 6,493,728 (hereinafter Berger).

1. Re Claim 47, 55, 63:

Strasnick teaches a computer graphical user interface system (See the abstract; figure 13; column 6) comprising:

A database operable to store hierarchically organized data associated with a multi-dimensional hierarchy of data (column 7-8) and display the multi-dimensional hierarchy of data to a user (Figs. 1-7);

A multi-dimensional graphical user interface coupled to the database and capable of user interaction to provide a multi-dimensional user interactive graph (e.g., column 7 and 8) comprising:

A multi-dimensional axes data hierarchy (e.g., *figures 1-7; column 1, 6-7 and 16*) including a top layer hierarchy associated with a first axis dimension (e.g., *departments or departments cells; see column 7-8*), a top layer hierarchy associated with a second axis dimension (e.g., *Figs. 14-18 and column 21-22, for example, the attributes associated with ALL quarters that include the children cells/quarters*); and a unique bottom layer hierarchy (children cells) including a plurality of function values associated with each of the top layer hierarchies of the multi-dimensional axes data hierarchy; and a multi-dimensional value hierarchy associated with each of the function values of the multi-dimensional axes data hierarchy (e.g., *in a non-limiting example, cells representing the salespersons' sales and axis has been taught in figures 1-7 and column 1 and 16 wherein the parent member being a department cell in the department level being the parent of all the salespersons cells belonging to the department; column 7-8*); and *the children cells are the salespersons cells belonging to the department; see for example, column 7-8, lines 10-30 and the children salespersons cells representing the disaggregation of the department cell to which they belong. Strasnick teaches in column 7-8 and 19-22 a user selection of a cell representing the company's total sales (a company cell) and all the sub-cells or children cells representing the departments' sales (the department cells) wherein the*



*department cells emanate from the company cell and also all the sub-cells or children cells representing the salespersons' sales (the salesperson cells) wherein the salespersons' cells emanate from one of the departments' cells. Strasnick teaches warp navigation in which a navigator warps to the hierarchical dependents or children such as the department cells in the first level in response to the selection by the navigator from the company cell. Strasnick teaches warp navigation in which a navigator warps to the departments' cells in the first level in response to the selection by the navigator from the company cell.*

*Strasnick thus teaches, in response to the user selection of the departments' cells in the first level for display of departments' sales data with respect to the x-axis by a warp navigator from the company cell, display on the graph the departments' sales data or departments' cells in the first level. Strasnick also teaches warp navigation in which a navigator warps to the salespersons' cells in the second level in response to the selection by the navigator from one of the departments' cells. Strasnick discloses, in response to a user selection of the second level for display of salespersons' sales data with respect to the x-axis from a department cell by the warp navigator, display on the graph the salespersons' sales data or the salespersons' cells in the second level. Strasnick further discloses navigation and visualization in the 3D layout space wherein each dimension has the hierarchical structure of data attributes; see Fig. 7 and 14-18 and column 21-22 wherein the x-axis and y-axis dimensions are represented by the rows and columns and further the y-axis dimension has a hierarchical structure of displayed objects similar to the x-axis. The z-axis dimension is associated with the filter levels and heights that are selectable data objects/blocks).*

- Examiner Notes:
- Strasnick discloses hierarchy being displayed on a ground plane of the information with respect to the x-axis and y-axis (See column 1 and 16-17). Strasnick discloses hierarchy being displayed on a ground plane of the information landscape with respect to the x-axis and y-axis wherein the X- axis of every display object is narrowed or expanded. The 2D plane or 3D box upon which the information objects are drawn has the X-dimension and Y-dimension or x-axis and y-axis as clearly taught by Strasnick in column 16-17.
- Strasnick discloses adjusting a width or height of a display of the information objects relative to the viewpoint of the user. Strasnick discloses the x-axis being associated with the x dimension of the sales data, the x dimension or horizontal dimension for the x axis being associated with the sales data hierarchy having the parent levels and children levels displayed in the information landscape with the x-axis and y-axis of sales data for the x dimension or the horizontal dimension (see Figure 5B, column 6-8, 16-17, 20). Therefore, Strasnick reads on the claim limitation of “a first axis being associated with a first dimension of the supply chain data, the first dimension for the first axis being associated with a first predetermined hierarchical arrangement of supply chain data for the first dimension.”

It needs to be shown that Strasnick discloses the claim limitation of “a top layer hierarchy associated with a third axis dimension”.

In a non-limiting example, cells representing the salespersons' sales and axis has been taught in Figures 1-7 and column 1 and 16 wherein the parent member being a department cell in the department level being the parent of all the salespersons cells belonging to the department; column 7-8); and the children cells are the salespersons cells belonging to the department----the x-axis hierarchy; see for example, column 7-8, lines 10-30 and the children salespersons cells representing the disaggregation of the department cell to which they belong. Strasnick teaches in column 7-8 and 19-22 a user selection of a cell representing the company's total sales (a company cell) and all the sub-cells or children cells representing the departments' sales (the department cells) wherein the department cells emanate from the company cell and also all the sub-cells or children cells representing the salespersons' sales (the salesperson cells) wherein the salespersons' cells emanate from one of the departments' cells. Strasnick teaches warp navigation in which a navigator warps to the hierarchical dependents or children such as the department cells in the first level in response to the selection by the navigator from the company cell. Strasnick teaches warp navigation in which a navigator warps to the departments' cells in the first level in response to the selection by the navigator from the company cell.

Strasnick thus clearly teaches in Fig. 2a, Fig. 7 and 14 and column 22 a top layer hierarchy (e.g., the product cells such as ALL for all products as the hierarchy is controlled by the display control) associated with a first axis dimension (x-axis hierarchy or z-axis hierarchy when the function values are associated with the product cells) and a top layer hierarchy (ALL for a total of the first quarter, second quarter, third quarter and fourth quarter of Sales data and the hierarchy is controlled by the display control; see column 22, lines 10-67; see also column 16, lines 45-67 for the displayed objects along the y-axis dimension wherein the displayed

objects in the y-axis dimension are in the hierarchical structure) associated with a second axis dimension (y-axis). Strasnick discloses in Fig. 10B, 11 and column 22 a value hierarchy wherein the values are represented in different heights and colors to indicate the attributes of the data and the values may be summarized in a three-dimensional graph display. See Fig. 11 wherein the data value hierarchies are associated with the three-dimensional graph having the first dimension axis----- sales by regions or employee organizations, the second dimension axis----- year/quarter/months and the third dimension axis----product items arranged in the value hierarchies wherein the value hierarchies are arranged in terms of the product items and the product items are further divided into the product item quota, the product item sale represented by the heights and colors. Strasnick clearly teaches that the sales and quota of product items are represented by the height and color and thereby value hierarchies is taught by Strasnick. Strasnick clearly discloses in Fig. 14 a three-dimensional hierarchy of data structures in which the data objects are navigated and visualized in the three-dimensional layout. Moreover, Strasnick discloses in column 21, lines 30-40 a hierarchical tree structure of nodes in a 3D layout of the nodes/cells or data attributes in a hierarchy wherein the nodes/cells/attributes of the hierarchical tree are distributed/mapped to the coordinates in the 3D space and thus graphical structure has a top layer hierarchy associated with the x-axis in the 3D space, a top layer hierarchy associated with the y-axis in the 3D space and a top layer hierarchy associated with the z-axis in the 3D space (See Figs. 14-18).

Strasnick thus strongly suggests the claim limitation of a top layer hierarchy associated with a third axis dimension (See Fig. 10A, 12A and 14-18).

DeKimpe teaches the claim limitation of “a top layer hierarchy associated with a third axis dimension.” See DeKimpe Figs. 2 and 3; and column 6 wherein DeKimpe discloses cells in the multi-dimensional database along all dimensions and cubes have hierarchies of data within each dimension. Members of a dimension are included in a calculation to produce a consolidated total for a parent member. Children may themselves be consolidated levels, which requires that they have children. A member may be a child for more than one parent, and a child’s multiple parents may not necessarily be at the same hierarchical level, allowing multiple hierarchical aggregations within any dimension (DeKimpe column 6). Drilling down or up is a specific analytical technique whereby the user navigate among levels of data ranging from the most summarized to the most detailed. The drilling paths may be defined by the hierarchies within dimensions or other relationships that may be dynamic within or between dimensions. For example, when viewing data for Sales 324 for the year 1997 304 in Fig. 3, a drill-down operation in the Time dimension 302 would then display members Q1 366, Q2 308, Q3 310 and Q4 312.

DeKimpe discloses that in Fig. 3, there is a cube in three-dimensional space with each dimension represented by an axis of the cube and the intersection of the dimension members are represented by cells in the multi-dimensional database.

In column 6, especially, column 6, lines 53-61, it is stated, “Drilling down or up is a specific analytical technique whereby the user navigates among levels of data ranging from the most summarized (up) to the most detailed (down). The

drilling paths may be defined by the hierarchies within dimensions or other relationships that may be dynamic within or between dimensions. For example, when viewing data for Sales 334 for the year 1997 304 in FIG. 3, a drill-down operation in the Time dimension 302 would then display members Q1 366, Q2 308, Q3 310, and Q4 312.”

eKimpe teaches drilling down or up on the cube as the user navigates among levels of data ranging from the most summarized (up) to the most detailed (down) clearly teaches the claim limitation of “**multi-dimensional user interactive graph**”. DeKimpe clearly teaches a first axis dimension, a second axis dimension and a third axis dimension in the three data dimensions illustrated in the cube of Fig. 3 with the drilling up and down capability among the levels of data hierarchies.

DeKimpe discloses the drilling paths may be defined by the hierarchies within dimensions. Having the hierarchies within dimensions means that there is a top layer hierarchy for every dimension (axis) and therefore, there is a top layer level/hierarchy associated with a third axis dimension. The cube of DeKimpe is only an example of the graphical representation of the hierarchical data structures. DeKimpe teaches in column 6 that any dimension of the three-dimensional hierarchical data has levels of data ranging from the most summarized (up) to the most detailed (down) wherein drilling paths may be defined by the hierarchies within dimensions.

DeKimpe not only discloses the hierarchies within dimensions but also discloses the graphical user interface allowing a user to navigate among levels of data-ranging from the most summarized (up) to the most detailed (down).

In column 6 lines 21-52, DeKimpe discloses an example of the graphical representation of the three-dimensional array of hierarchical data. It is stated, "The cube is three dimensional, with each dimension (i.e., Time, Product, and Measures) represented by an axis of the cube...Cubes generally have hierarchies or formula-based relationships of data within each dimension (axis). Consolidation involves computing all of these data relationships for one or more dimensions. An example of consolidation is adding up all sales in the first quarter. While such relationships are normally summations, any type of computational relationship or formula might be defined. Members of a dimension are included in a calculation to produce a consolidated total for a parent member. Children may themselves be consolidated levels, which requires that they have children." Thus, DeKimpe discloses the multi-dimensional axes data hierarchy.

DeKimpe thus discloses a computer graphical user interface system (Fig. 3) comprising:

A database (*DeKimpe Fig. 2 and column 6 wherein Fig. 2 discloses a multi-dimensional database*) operable to store hierarchically organized data associated with a multi-dimensional hierarchy of data (*Fig. 3 and column 6 and Fig. 2 discloses hierarchically organized data associated with a multi-dimensional hierarchy of data*) and display the multi-dimensional hierarchy of data to a user (*Fig. 3 and column 6 and Fig. 2 discloses displaying the hierarchically organized data associated with a multi-dimensional hierarchy of data*); and

A multi-dimensional graphical user interface (Fig. 3 and column 6 discloses a graphical user interface for drilling down or up the multi-levels of the data structures) coupled to the database and capable of user interaction to provide a multi-dimensional user interactive graph comprising:

A multi-dimensional axes data hierarchy (Fig. 3 and column 6) including a top layer hierarchy (e.g., the ALL product item is a parent of the children products A, B, C) associated with a first axis dimension, a top layer hierarchy (e.g., the YEAR 1997 is the parent of its children Q1, Q2, Q3 and Q4) associated with a second axis dimension, and a top layer hierarchy (e.g., Measures is the parent of its children profits, costs and sales) associated with a third axis dimension; and a unique bottom layer hierarchy including a plurality of function values associated with each of the top layer hierarchies of the multi-dimensional axes data hierarchy (See DeKimpe Figs. 2 and 3; and column 6 wherein DeKimpe discloses cells in the multi-dimensional database along all dimensions and cubes have hierarchies of data within each dimension. Members of a dimension are included in a calculation to produce **a consolidated total for a parent member**. Children may themselves be consolidated levels, which requires that they have children. A member may be a child for more than one parent, and a child's multiple parents may not necessarily be at the same hierarchical level, allowing multiple hierarchical aggregations within any dimension (DeKimpe column 6). **Drilling down or up is a specific analytical technique** whereby **the user navigate among levels of data ranging from the most summarized to the most detailed**. The drilling paths may be defined by the hierarchies within dimensions or other relationships that may be dynamic within or between dimensions); and



A multi-dimensional value hierarchy associated with each of the function values of the multi-dimensional axes data hierarchy (See DeKimpe Figs. 2 and 3; and column 6 wherein DeKimpe discloses cells in the multi-dimensional database along all dimensions and cubes have hierarchies of data within each dimension. Members of a dimension are included in a calculation to produce **a consolidated total for a parent member**. Children may themselves be consolidated levels, which requires that they have children. A member may be a child for more than one parent, and a child's multiple parents may not necessarily be at the same hierarchical level, allowing multiple hierarchical aggregations within any dimension (DeKimpe column 6). **Drilling down or up is a specific analytical technique whereby the user navigate among levels of data ranging from the most summarized to the most detailed**. The drilling paths may be defined by the hierarchies within dimensions or other relationships that may be dynamic within or between dimensions).

In addition to the graphical representation of the multi-dimensional hierarchical data structure in DeKimpe column 6 and Fig. 3, further examples of the multi-dimensional hierarchies can be found in Berger's OLAP database structure and the graphical representation for multi-level hierarchical data.

Berger further discloses **a computer graphical user interface system comprising:**

**A database operable to store hierarchically organized data associated with a multi-dimensional hierarchy of data (Berger Fig. 2-3C); and**

**A multi-dimensional graphical user interface coupled to the database (Berger at Fig. 2-3C discloses the OLAP database; see column 4, lines 15-25 and column 7, lines 35-45) and capable of user interaction to provide a multi-dimensional user interactive graph (Berger**

*Fig. 2-3C wherein Berger discloses a three-dimensional user interactive graph wherein the first dimension is the Customers dimension having four hierarchical levels, All, State, City and Customer. The second dimension, the Products dimension has three levels, All, Category and Product. The third dimension, the Time dimension has three levels, Year, Quarter and Month. It is inherent that the three dimensions in the three-dimensional cube have coordinates and thus represent the three dimension axis; see column 6, lines 55-67 and column 7, lines 1-58)*

**comprising: a multi-dimensional axes data hierarchy including a top layer hierarchy associated with a first axis dimension (Berger Fig. 2-3C including the customers cells including the children cells STATES, CITIES and the individual customers), a top layer hierarchy associated with a second axis dimension (Berger Fig. 2-3C including the time YEAR or Quarter cells have the children cells such as the Month cells associated with the time dimension), and a top layer hierarchy associated with a third axis dimension (Berger Fig. 2-3C wherein the PRODUCTS cells have children cells such as the Category and Product Items associated with the product dimension); and a unique bottom layer hierarchy including a plurality of function values (e.g., quantitative values in the space cube; Berger Fig. 2-3C and column 4, lines 25-55 and column 9, lines 43-55 wherein Berger discloses that each cell in a multidimensional database has one or ore measures associated with it. In the cube, two measures are defined, Purchases and Units where Purchases is the dollar amount of a particular purchase and Units is the number of units purchased. Therefore, Berger teaches that each cell in a unique bottom layer hierarchy includes a plurality of function values such as the dollar amounts or the number of units purchased) associated with each of the top layer hierarchies of the multi-dimensional axes data hierarchy (Berger Fig. 2-3C); and a multi-dimensional**

**value hierarchy associated with each of the function values of the multi-dimensional axes data hierarchy** (*Berger Fig. 2-3C wherein Berger discloses a three-dimensional user interactive graph wherein the first dimension is the Customers dimension having four hierarchical levels, All, State, City and Customer. The second dimension, the Products dimension has three levels, All, Category and Product. The third dimension, the Time dimension has three levels, Year, Quarter and Month. It is inherent that the three dimensions in the three-dimensional cube have coordinates and thus represent the three dimension axis; see column 6, lines 55-67 and column 7, lines 1-58. The quantitative values---measure data of the cells in the space cube; Berger Fig. 2-3C and column 4, lines 25-55 and column 9, lines 43-55 wherein Berger discloses that each cell in a multidimensional database has one or ore measures associated with it. In the cube, two measures are defined, Purchases and Units where Purchases is the dollar amount of a particular purchase and Units is the number of units purchased. Therefore, Berger teaches that each cell in a unique bottom layer hierarchy includes a plurality of function values such as the dollar amounts or the number of units purchased).*

It would have been obvious to one of the ordinary skill in the art at the time of invention was made to have incorporated DeKimpe or Berger's multi-dimensional user graphical interface because Strasnick's multi-dimensional data hierarchy and drilling up and down the hierarchical structure and thus suggests drilling up and down multi-dimensional hierarchies including the three-dimensional hierarchy in the three-dimensional layout of the hierarchical structures of displayed objects (See Strasnick Figs. 14-18 and column 21-22 and DeKimpe column 6). Moreover, Dekimpe teaches other claim limitations set forth in claim 47 as well including a

database operable to store hierarchically organized data associated with a multi-dimensional hierarchy of data and a multi-dimensional graphical user interface (drilling up and drilling down in Fig. 3) interaction to provide a multi-dimensional user interactive graph (cubes or hypercubes in column 6).

Strasnick implicitly discloses hierarchy being displayed on a ground plane of the information landscape with respect to the x-axis and y-axis wherein the X- axis of every display object is narrowed or expanded. The 2D plane or 3D box upon which the information objects are drawn has the X-dimension and Y-dimension or x-axis and y-axis as clearly taught by Strasnick in column 16-17, 21-22 as well as Figs. 14-18. In a non-limiting example, Strasnick discloses adjusting a width or height of a display of the information objects relative to the viewpoint of the user. Strasnick discloses the x-axis being associated with the x dimension of the sales data, the x dimension or horizontal dimension for the x axis being associated with the sales data hierarchy having the parent levels and children levels displayed in the information landscape with the x-axis and y-axis of sales data for the x dimension or the horizontal dimension (see Strasnick Figure 5B, column 6-8, 16-17, 20). Moreover, Strasnick teaches in column 7-8 and 19-22 a user selection of a cell representing the company's total sales (a company cell) and all the sub-cells or children cells representing the departments' sales (the department cells) wherein the department cells emanate from the company cell and also all the sub-cells or children cells representing the salespersons' sales (the salesperson cells) wherein the salespersons' cells emanate from one of the departments' cells.

Strasnick teaches warp navigation in which a navigator warps to the hierarchical dependents or children such as the department cells in the first level in response to the selection

by the navigator from the company cell. Strasnick teaches warp navigation in which a navigator warps to the departments' cells in the first level in response to the selection by the navigator from the company cell. Strasnick thus teaches, in response to the user selection of the departments' cells in the first level for display of departments' sales data with respect to the x-axis by a warp navigator from the company cell, display on the graph the departments' sales data or departments' cells in the first level.

Strasnick also teaches warp navigation in which a navigator warps to the salespersons' cells in the second level in response to the selection by the navigator from one of the departments' cells. Strasnick discloses, in response to a user selection of the second level for display of salespersons' sales data with respect to the x-axis from a department cell by the warp navigator, display on the graph the salespersons' sales data or the salespersons' cells in the second level.

One of the ordinary skill in the art is motivated to have combined the references because this allows the multiple dimension visual model being used to clearly present the data set to the user as organized in multiple levels along the multiple axis with each member being labeled as the database contains a plurality of data records having multiple data attributes/levels that need to be visualized at a plurality of levels to reveal the details of the hierarchical structure of the data records (Strasnick Figs. 14-18, column 21-22, DeKimpe Figs. 2-3 and column 6 and Berger Figs. 2-3C).

Re Claims 48, 56, 64:

Strasnick further discloses the claimed limitation of the first dimension comprising a seller dimension associated with a seller hierarchy (column 6-8); each of the plurality of members in the first level of the seller hierarchy representing all sellers within a corresponding geographic region (column 7); and each of the plurality of members in the second level of the seller hierarchy representing all sellers within a corresponding sub-region of a region represented by a member in the first level (column 8). Therefore, Strasnick discloses the claim limitation of “a plurality of levels of hierarchies associated with the top layer hierarchy, and the bottom layer hierarchy associated with each of the plurality of levels of hierarchies.”

Strasnick further discloses the claimed limitation of the first dimension comprising a product dimension associated with a product hierarchy; each of the plurality of members in the first level of the product hierarchy representing all products associated with a corresponding product category; and each of the plurality of members in the second level of the product hierarchy representing all products associated with a corresponding sub-category of a product category represented by a member in the first level (column 22).

Strasnick further discloses the claimed limitation of the first dimension comprising a time dimension associated with a time hierarchy; each of the plurality of members in the first level of the time hierarchy representing all times with a corresponding time period; and each of the plurality of members in the second level of the time hierarchy representing all times within a corresponding sub-period of a time period represented by a member in the first level (column 22).

Strasnick and DeKimpe further disclose the claimed limitation of the graph comprising three axes, each axis associated with a dimension of the supply chain, each dimension of supply chain data being associated with a predetermined hierarchical arrangement of supply chain data for the dimension (e.g., Strasnick figure 1; column 1 and 3; **DeKimpe Figs. 2-3 and column 6**).

Therefore, Strasnick discloses the claim limitation of “a top layer hierarchy associated with a third axis dimension, and the bottom layer hierarchy associated with the top layer hierarchy of the third axis dimension.”

Re Claims 50-51, 58-59, 65

Strasnick further discloses the claim limitation of displaying a window indicating the particular member specified in the filter selection, and in response to selection the particular member displayed in the window, display on the first axis of the graph a graphical representation of supply chain data for the particular member in addition to the graphical representation of supply chain data for the other members in the level of the particular member (column 8 and 20).

Strasnick and DeKimpe further disclose the claim limitation of receiving a filter selection specifying a particular member within a level for which a graphical representation of supply chain data for the particular member is not to be displayed on the graph; and in response to receiving the filter selection and selection of a level for display of supply chain data with respect to the first axis, display on the graph a graphical representation of supply chain data for each member in the selected level other than the particular member specified in the filter selection (Strasnick column 8 and 20 and **DeKimpe Figs. 2-3 and column 6**).

Therefore, Strasnick and DeKimpe disclose the claim limitation of “filtering at least a portion of the plurality of levels of hierarchies and in response the filtered levels of hierarchies disappear from the multi-dimensional user interactive graph and the multi-dimensional graphical user interface displays the filtered levels of hierarchies in a separate filtered window.”

Strasnick and DeKimpe further disclose the claimed limitation of the GUI operable to, in response to selection of a particular member of the first level for display of supply chain data with respect to the first axis, display on the graph a graphical representation of supply chain data for the selected particular member (Strasnick column 8 and 20 and **DeKimpe Figs. 2-3 and column 6**).

Therefore, Strasnick and DeKimpe disclose the claim limitation of “the multi-dimensional graphical user interface allows for a user navigation of the multi-dimensional axes data hierarchy by drilling into the top layer hierarchies associated with each of the axis dimensions.”

Re Claims 52-54, 60-62, 66:

Strasnick further discloses the claim limitation of displaying a window indicating the particular member specified in the filter selection, and in response to selection the particular member displayed in the window, display on the first axis of the graph a graphical representation of supply chain data for the particular member in addition to the graphical representation of supply chain data for the other members in the level of the particular member (Strasnick column 8 and 20).



Therefore, Strasnick and DeKimpe disclose the claim limitation of allowing the function value to be graphed over user selectable aggregations of user input data.

Strasnick and DeKimpe further disclose the claim limitation of receiving a filter selection specifying a particular member within a level for which a graphical representation of supply chain data for the particular member is not to be displayed on the graph; and in response to receiving the filter selection and selection of a level for display of supply chain data with respect to the first axis, display on the graph a graphical representation of supply chain data for each member in the selected level other than the particular member specified in the filter selection (Strasnick column 8 and 20 and **DeKimpe Figs. 2-3 and column 6**).

Therefore, Strasnick and DeKimpe disclose the claim limitation of “filtering at least a portion of the multi-dimensional value hierarchies and in response the filtered value hierarchies disappear from the multi-dimensional user interactive graph and the multi-dimensional graphical user interface displays the filtered value hierarchies in a separate filtered legend window.”

Strasnick and DeKimpe further disclose the claimed limitation of the GUI operable to, in response to selection of a particular member of the first level for display of supply chain data with respect to the first axis, display on the graph a graphical representation of supply chain data for the selected particular member and the mathematical combinations can also be displayed (Strasnick column 8 and 20; **DeKimpe Figs. 2-3 and column 6**).

Therefore, Strasnick and DeKimpe disclose the claim limitation of “providing for user interaction of complex mathematical combinations of the multi-dimensional axes data hierarchy”.

Re Claims 67-72:

Strasnick and DeKimpe further disclose the claimed limitation of the GUI operable to, in response to selection of a particular member of the first level for display of supply chain data with respect to the first axis, display on the graph a graphical representation of the mathematical combinations for each of the top layer hierarchies of the multi-dimensional axes data hierarchy (Strasnick column 8 and 20; **DeKimpe Figs. 2-3 and column 6**).

Berger further teaches in Figs. 2-3c a first wall graphical user interface grid associated with a mathematical summarization of the plurality of function values associated with each of the top layer hierarchies of the multi-dimensional axes data hierarchy and a second wall graphical user interface grid associated with the mathematical summarization of the plurality of function values associated with each of the top layer hierarchies of the multi-dimensional axes data hierarchy.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jin-Cheng Wang whose telephone number is (571) 272-7665. The examiner can normally be reached on 8:00 - 6:30 (Mon-Thu).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kee Tung can be reached on (571) 272-7794. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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jcw

*Jinsheng Wang, P.E.*